

# VLASOV SIMULATION OF TRAPPING AND INHOMOGENEITY IN RAMAN SCATTERING

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Work supported by US Dept. of Energy

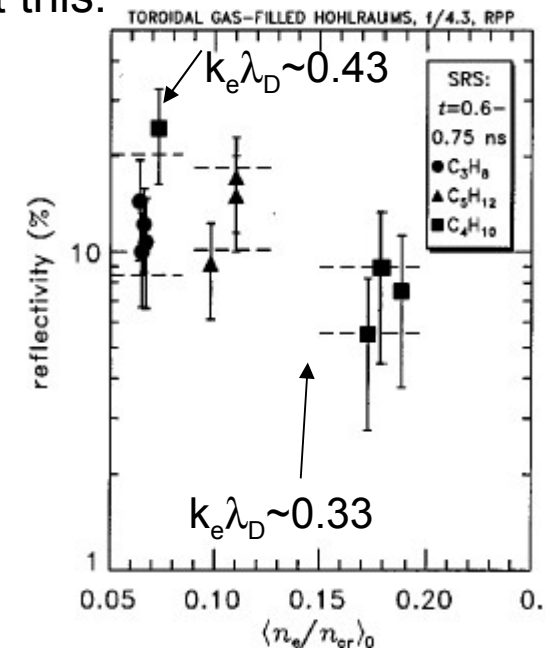
ICNSP 2005  
Nara, Japan  
Poster P2-78  
13 July 2005

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# Is Stimulated Raman Scattering (SRS) a concern in ICF ignition plasmas?

- **Linear theory:** SRS convective, needs long plasmas to grow due to Landau damping. Recent experiments<sup>1</sup> and reduced PIC simulations<sup>2</sup> contradict this.
- **ELVIS:** 1-D Vlasov-Maxwell solver for studying SRS.
- **SRS from homogeneous plasma:** bursty reflectivity, >> linear theory,  $f_e$  shows vortices and flattening.
- **Electron trapping:** enhances SRS by reducing Landau damping; nonlinearly shifts plasma wave frequency
- **Driven plasma wave:** response to fixed force reveals nonlinear dielectric properties
- **Inhomogeneity:** wavevector mismatch interacts with nonlinear shift from trapping



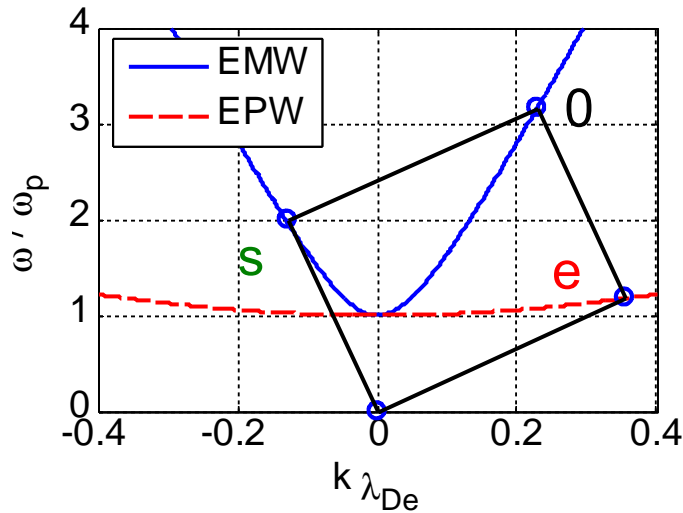
[from ref. 1]

<sup>1</sup>J. C. Fernández et al. *Phys Plasmas* **7**, 3743 (2000).

<sup>2</sup>H. X. Vu, D. F. DuBois, B. Bezzerides. *Phys Plasmas* **9**, 1745 (2002).

...maybe!

# Raman scatter couples a pump light wave (0) to a Scattered Light Wave (s) and Plasma Wave (e)



$\omega$  and  $k$  (energy, momentum) matching

$$\omega_0 = \omega_s + \omega_e \quad \vec{k}_0 = \vec{k}_s + \vec{k}_e$$

Coupled-Mode Equations

$$D_0 a_0 = K a_s a_e$$

$$D_s a_s = -K a_0 a_e^*$$

$$D_e a_e = -K a_0 a_s^*$$

$$D_i = \partial_t + \vec{v}_{gi} \cdot \nabla + \gamma_i$$

$\vec{v}_{gi}$  = group vel.

$\gamma_i$  = damping

$$0 \quad (\partial_{tt} - c^2 \nabla^2 + \omega_p^2) \vec{V}_0 = -\omega_p^2 \frac{n_e}{n_0} \vec{V}_s$$

$$s \quad (\partial_{tt} - c^2 \nabla^2 + \omega_p^2) \vec{V}_s = -\omega_p^2 \frac{n_e}{n_0} \vec{V}_0$$

$$e \quad (\partial_{tt} - 3v_{Te}^2 \nabla^2 + \omega_p^2) n_e = n_0 \nabla^2 \vec{V}_0 \cdot \vec{V}_s$$

Light waves driven by current density  $\sim n_e \mathbf{V}$

plasma wave driven by light-wave  $\mathbf{v} \times \mathbf{B}$  force

↑  
wave operator

↑  
parametric coupling

# Instability Thresholds vary strongly w/ $\gamma_e$ (Landau damping)

$$E_i \sim \mathbf{a}_i(\mathbf{x}, t) \exp i(k_i \mathbf{x} - \omega_i t) + \text{c.c.}$$

Slowly-varying amplitude

Rapid oscillation

$$\text{Instability threshold: } \gamma_0 > \sqrt{\gamma_s \gamma_e} \rightarrow I_0 > I_{\text{con}}$$

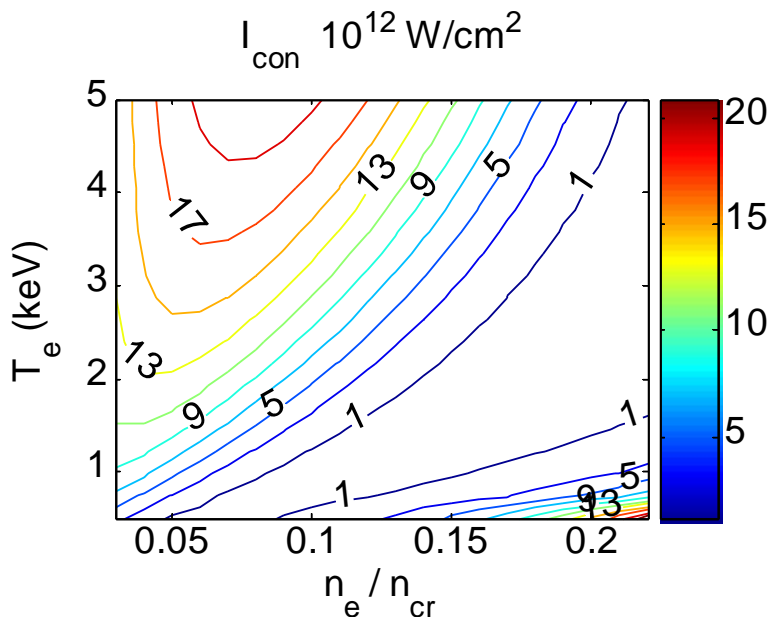
Absolute instability threshold:

$$\gamma_0 > \frac{1}{2} \sqrt{|v_{gs} v_{ge}|} \left( \frac{\gamma_s}{|v_{gs}|} + \frac{\gamma_e}{|v_{ge}|} \right) \rightarrow I_0 > I_{\text{abs.}}$$

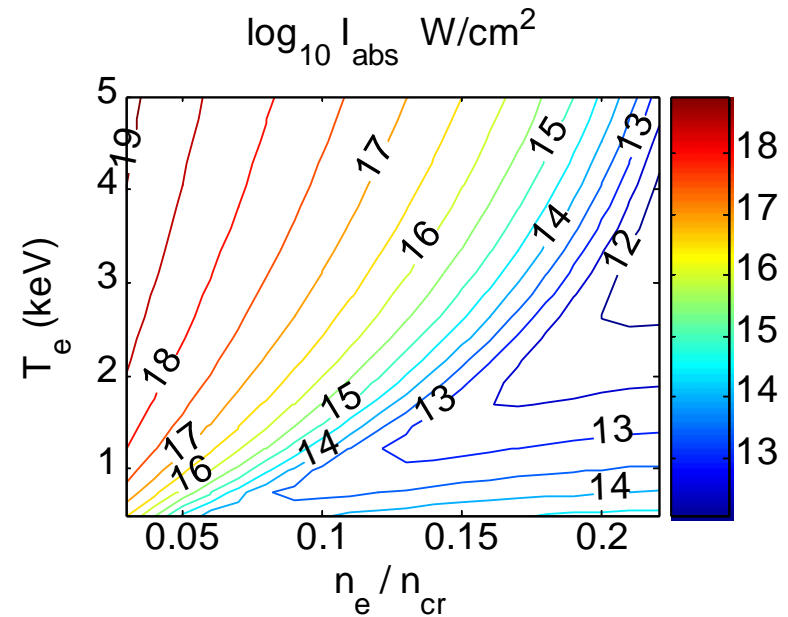
Linear ( $a_0 = \text{const.}$ ) temporal growthrate:

$$\begin{aligned} \gamma &= -\frac{1}{2}(\gamma_s + \gamma_e) + \sqrt{\gamma_0^2 + \frac{1}{4}(\gamma_s - \gamma_e)^2} \\ \gamma_0 &= \frac{\omega_p}{4\sqrt{\omega_s \omega_e}} k_e v_{os,0} \\ &= 2.0 \frac{\omega_p}{\sqrt{\omega_s \omega_e}} k_e \lambda_0 \sqrt{I_{0,15}} \quad (1/\text{ps}) \end{aligned}$$

$\lambda_0 = 351 \text{ nm}$ , 50-50 H-He  $T_i = T_e / 3$

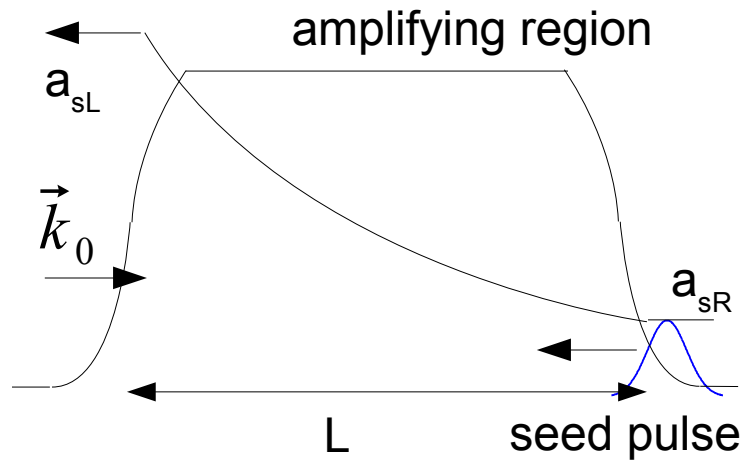


NIF  $I_0$ : beam avg  $\sim 5 \cdot 10^{14}$  speckle  $\sim 5 \cdot 10^{15}$

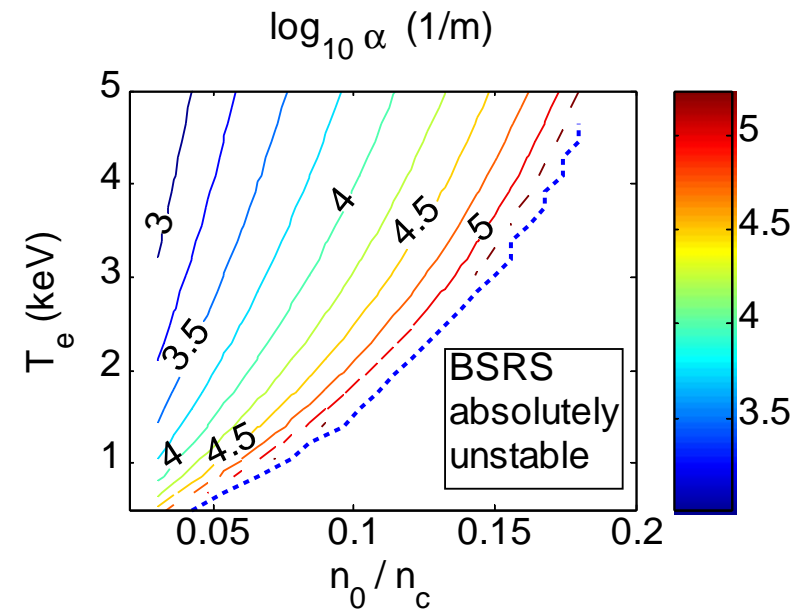


# Strong Landau damping gives mild convective gain

## Convective Steady State



$$a_{sL} = a_{sR} e^G \quad G = \alpha L$$



$$I_0 = 2E15 \text{ W/cm}^2 \quad \lambda_0 = 351 \text{ nm}$$

Strong damping limit:  $|\alpha_e a_e| \gg |\partial_x a_e|$

$$\alpha \approx \frac{\alpha_0^2}{\alpha_e}$$

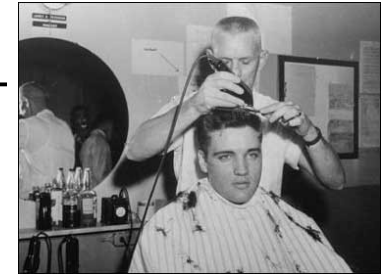
$$\alpha_0 \approx \frac{\gamma_0}{\sqrt{v_{gs} v_{ge}}}$$

### Linear Theory Predicts:

In ICF hohlraums, BRSR is a convective instability needing long plasmas to grow due to strong Landau damping

# ELVIS: EuLerian Vlasov Integrator with Splines

## 1-D Vlasov-Maxwell Solver



[D. J. Strozzi, M. M. Shoucri, A. Bers, *Comp Phys Comm* **164**/1-3 (2004)]  
 [A. Ghizzo, P. Bertrand, M. M. Shoucri *et al.*, *J Comp Phys* **90** (1990)]

- Kinetic equation in x:

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} + (E_x + v_y B_z) \frac{\partial f}{\partial p} = \boxed{-\nu_K(x)(f - n \hat{f}_{0K})}$$

Krook operator

- Gauss' Law:

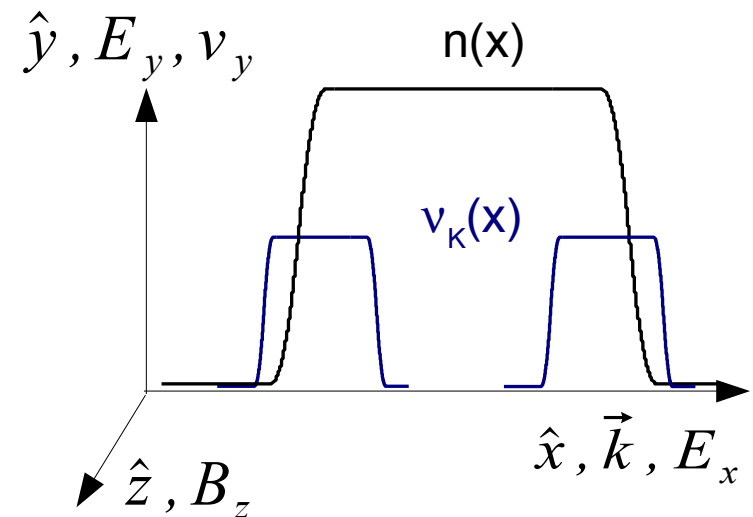
$$\partial_x E_x = e \epsilon_0^{-1} (Z_i n_i - n_e)$$

- Transverse flow: cold collisionless fluid

$$m_e \partial_t v_y = -e E_y$$

- Transverse Maxwell Fields: linearly polarized in y

$$E^\pm \equiv E_y \pm c B_z \quad (\partial_t \pm c \partial_x) E^\pm = -\epsilon_0^{-1} J_y \quad E^\pm = \text{right, left moving}$$

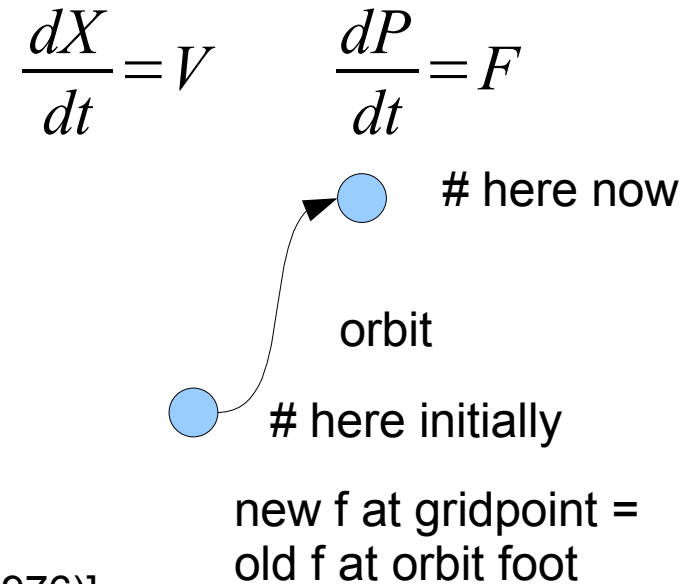
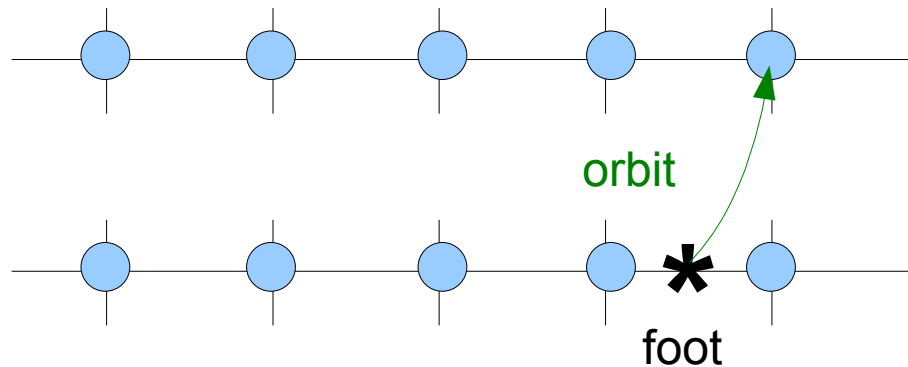


# Numerical Algorithm: Vlasov vs. PIC

**Continuum** (“Vlasov,” Eulerian) method: Treat  $f$  as phase-space fluid; no discreteness  
**Particle-in-Cell** (PIC, Lagrangian) method: Follow macro-particles; discreteness effects

Continuum methods have much lower numerical noise and can study small-amplitude dynamics better; however, they require enormous resources to grid, e.g., 6-D phase space.

Vlasov eqn:  $f$  constant along orbits  $X(t), P(t)$

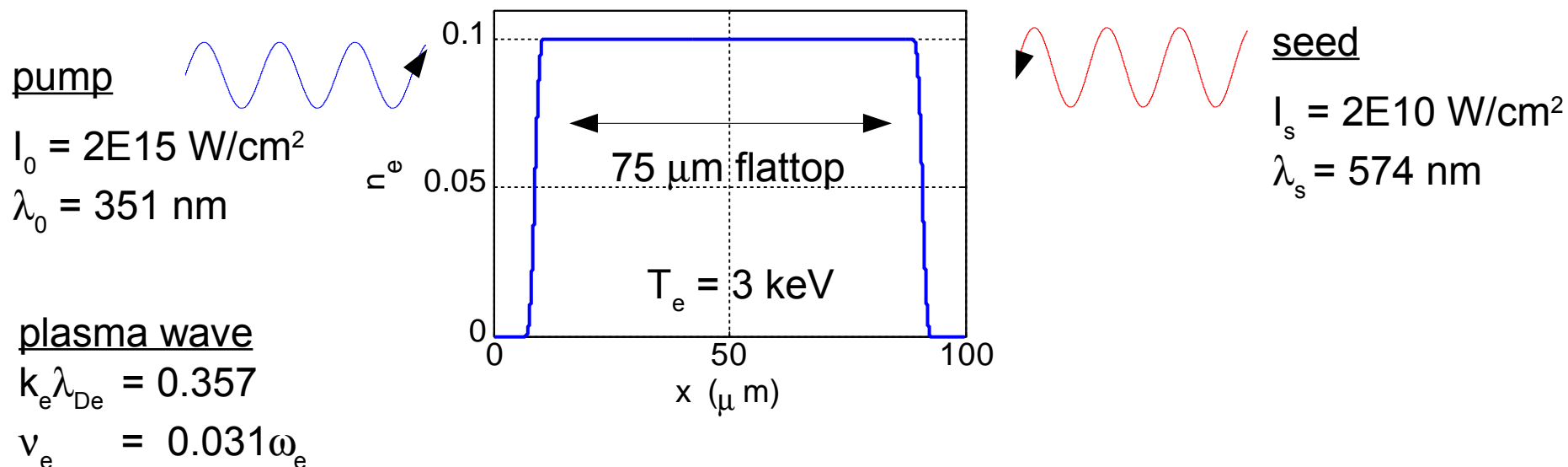


Operator Splitting: [C. Z. Cheng, G. Knorr, *J Comp Phys* **22** (1976)]

1.  $(\partial_t + v\partial_x)f = 0 \quad \rightarrow \quad f^*(x,p) = f(x - v dt, p, t_{n+1})$
2.  $(\partial_t + F\partial_p)f = 0 \quad \rightarrow \quad f(x,p, t_{n+1}) = f^*(x, p - F dt)$

Use cubic spline interpolation for  $f$  at foot  $\rightarrow$  tri-diagonal system

# Homogeneous plasma: SRS well above linear gain



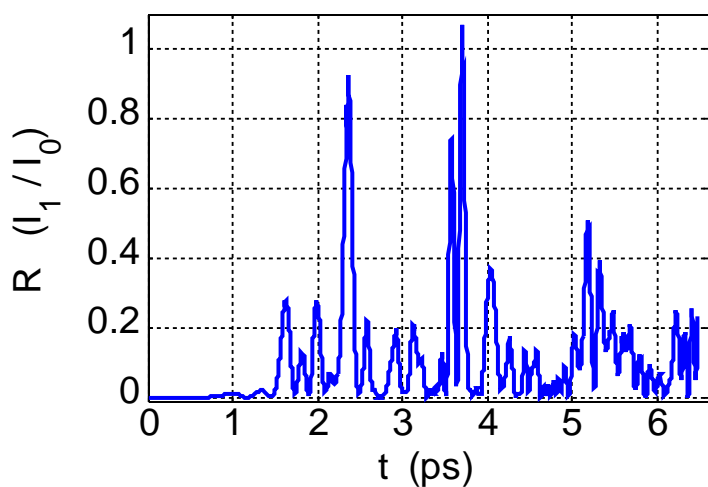
Reflectivity at left edge  
 avg. (1:6 ps) = 13%

linear theory:

$$R = 0.0173\%$$

$$\alpha^{-1} = 52.6 \mu\text{m}$$

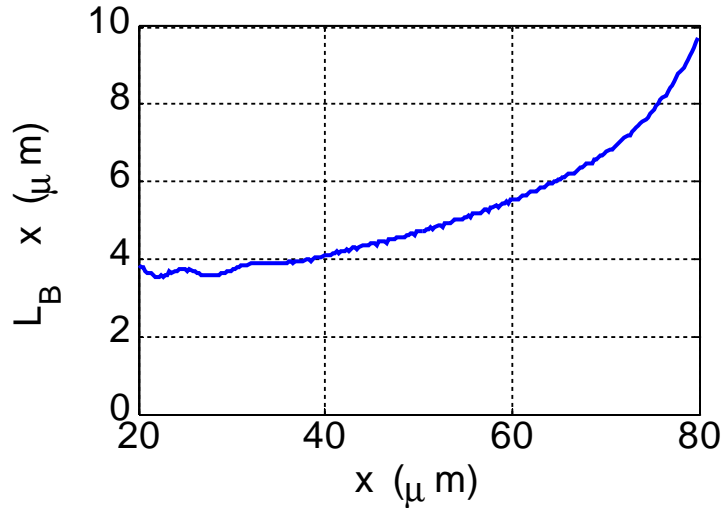
Run SRS is bursty, no steady state



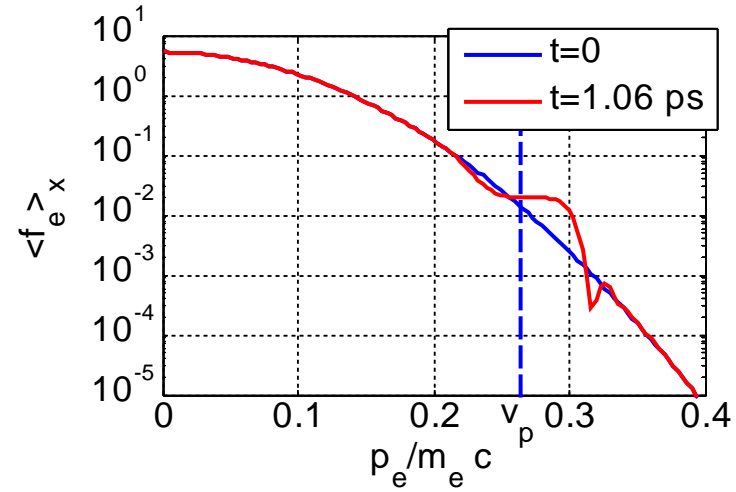


# Homogeneous plasma: trapping happens, $f_e$ flattened

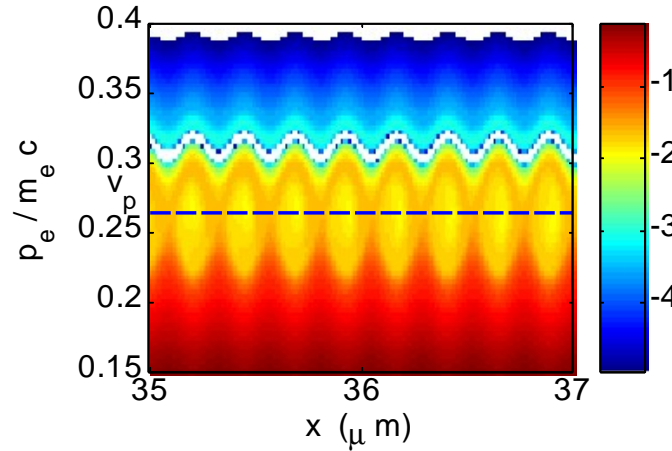
$t$  (ps) = 0.825



Spatially-averaged  $f_e$



$\log_{10} f_e$   $t = 1.06$  ps

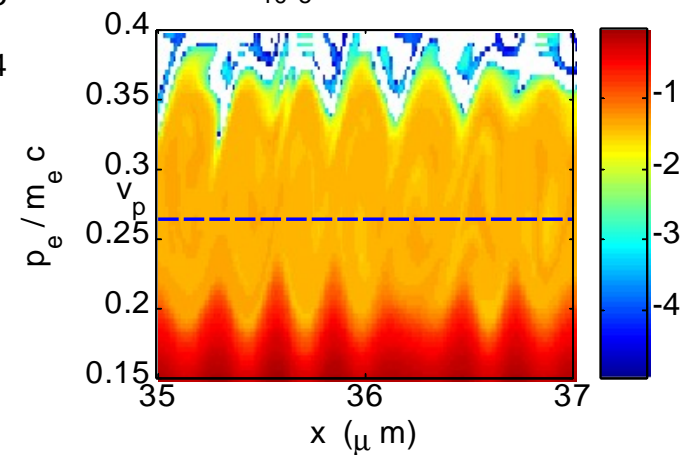


$$\omega_B = \omega_p \left( \frac{\delta n}{n_0} \right)^{1/2}$$

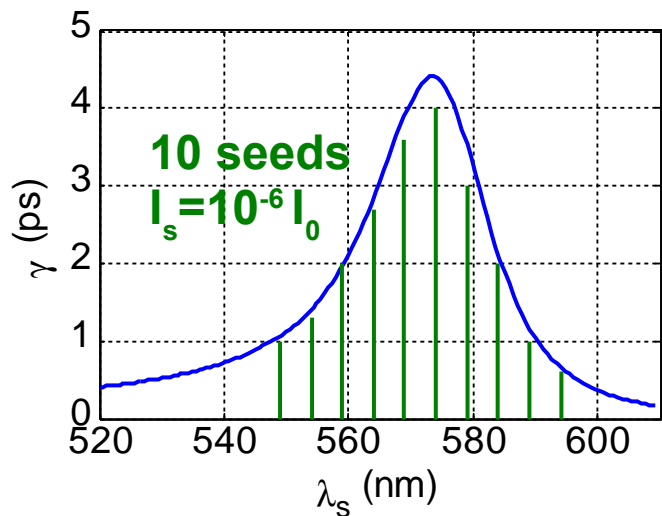
$$k_B = \frac{\omega_B}{v_{pe}}$$

$$L_B = \frac{2\pi}{k_B}$$

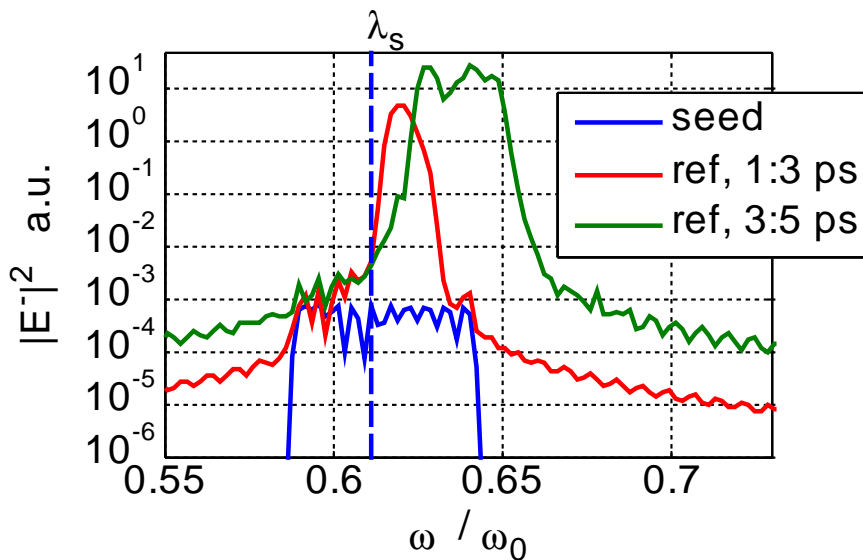
$\log_{10} f_e$   $t = 1.77$  ps



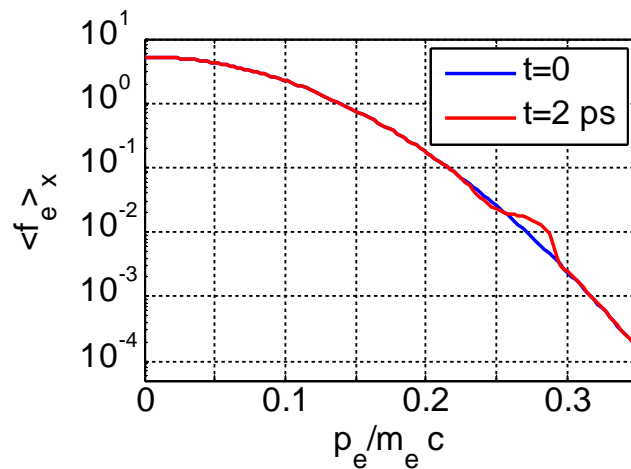
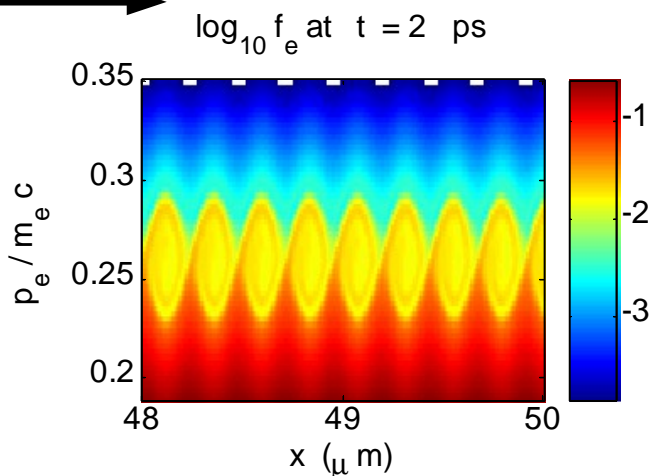
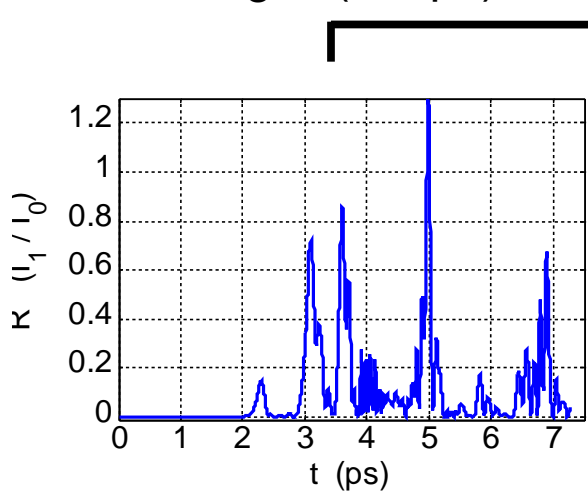
# Seed bandwidth: trapping at first by fast-growing mode



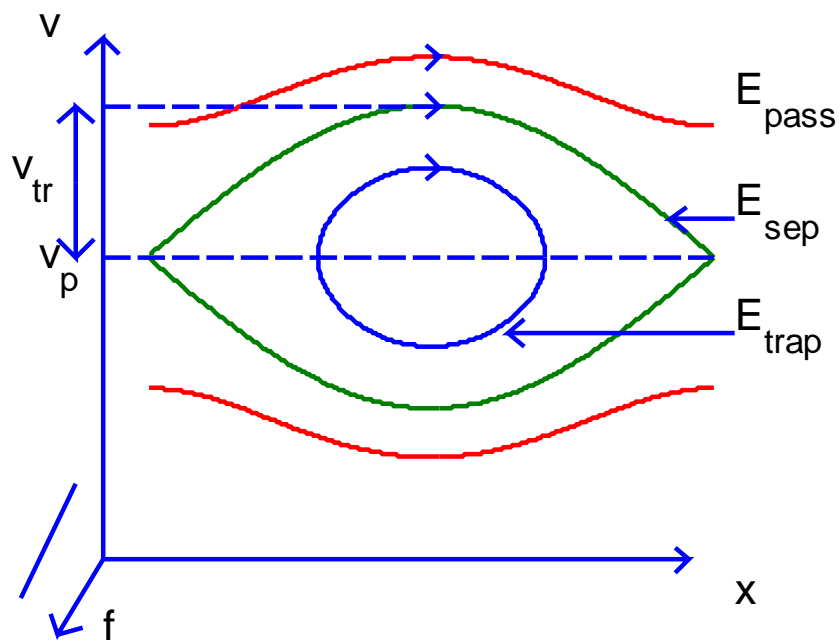
$$\varepsilon(k_e, \omega_e) = \frac{\chi k_e^2 v_{os,0}^2 / 4}{c^2 (k_0 - k_e)^2 + \omega_p^2 - (\omega_0 - \omega_e)^2}$$



avg R (2:7 ps) = 14%



# Electron Trapping Reduces Landau Damping



$$\omega_B = \left( \frac{ekE_0}{m_e} \right)^{1/2} = \omega_p \left( \frac{\delta n}{n_0} \right)^{1/2}$$

$$v_{tr} = 2 \left( \frac{eE_0}{m_e k} \right)^{1/2} \approx 2v_p \left( \frac{\delta n}{n_0} \right)^{1/2}$$

$$k_B = -\frac{\omega_B}{v_p} \approx -k \left( \frac{\delta n}{n_0} \right)^{1/2}$$

Periodic electrostatic run (no light waves)

$$\delta n/n_0 = 0.005$$

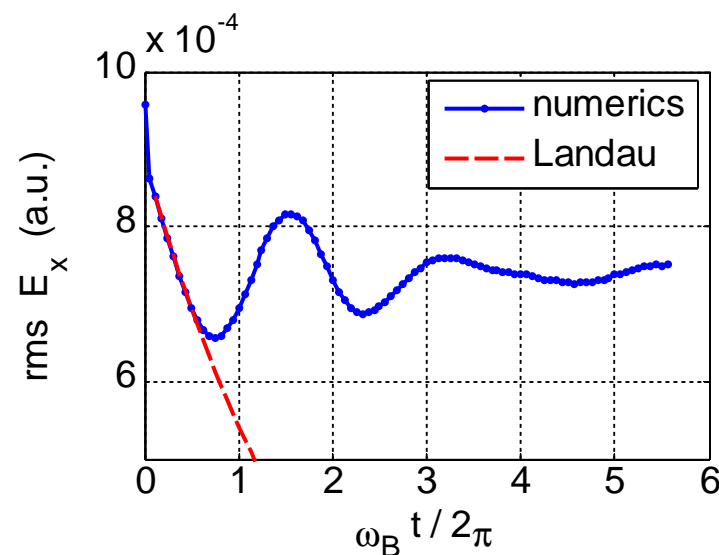
$$k\lambda_D = 0.273$$

$$T_e = 1 \text{ keV}$$

$$n_0 = 5E26 \text{ m}^{-3}$$

$$\omega_B = 0.0707\omega_p$$

Damping reduced once trapped electrons bounce  
[T. O'Neil, *Phys Fluids* 8, 1965]

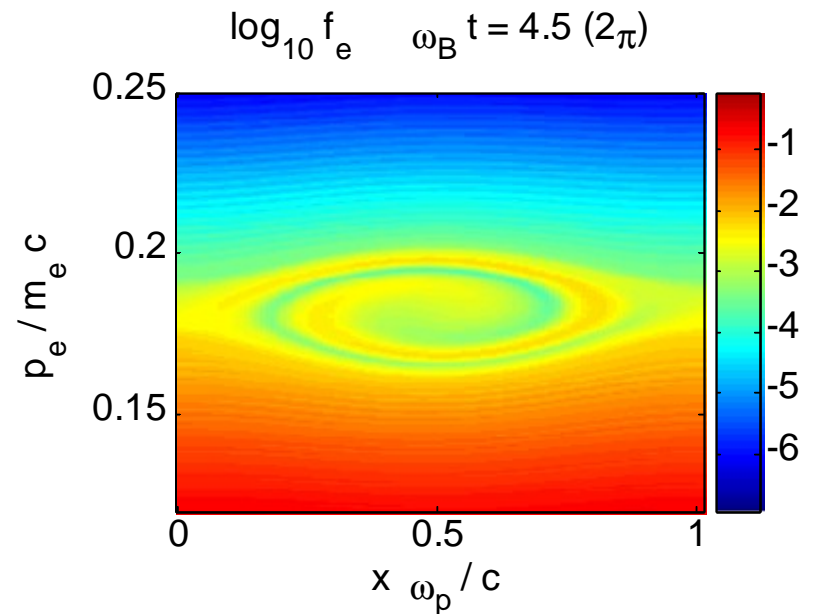
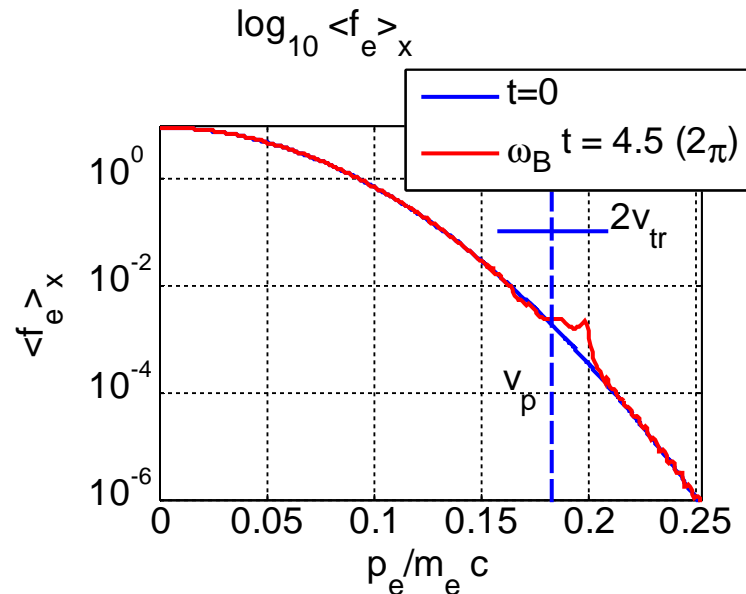
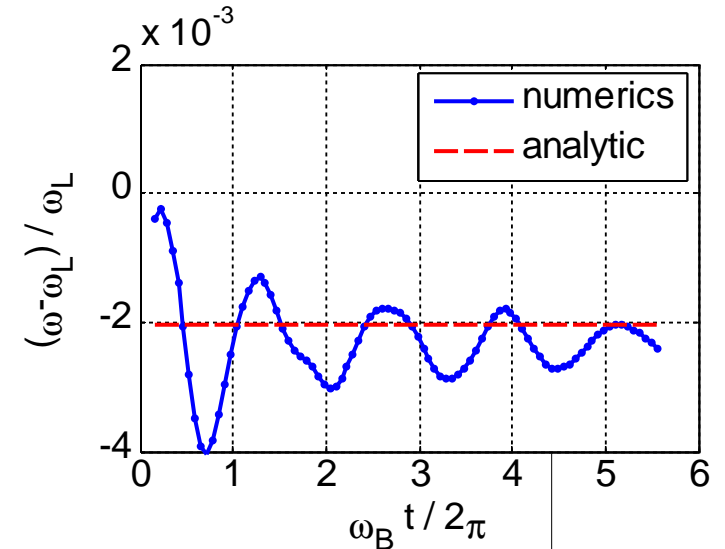
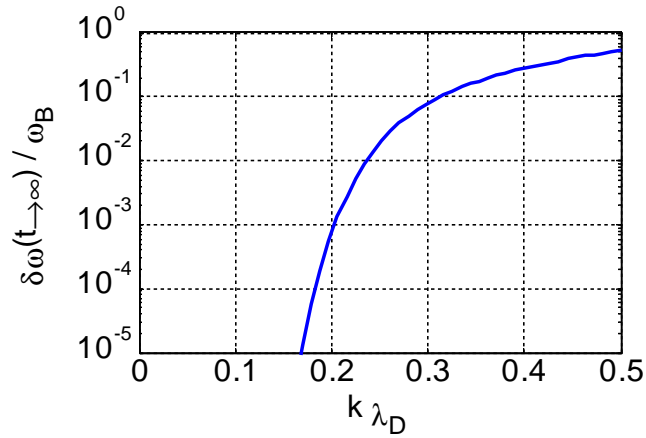


# Trapping Also Downshifts Wave Frequency

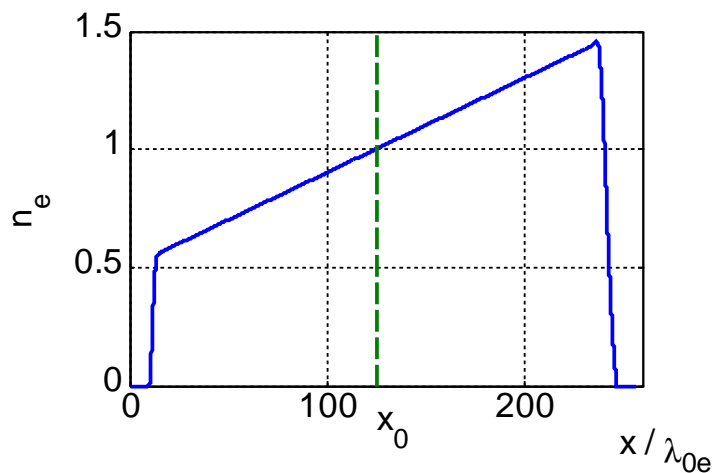
$$\frac{\delta\omega(t \gg \tau_B)}{\omega_B} = -1.645 \left( \frac{\omega_p}{k} \right)^3 \frac{\hat{f}_0''}{\omega_L \partial \epsilon_r / \partial \omega_L}$$

[G. J. Morales and T. M. O'Neil. *PRL* **28**, 417 (1972)]

$$f_0 \text{ Maxwell.} \rightarrow 1.856 \frac{2\zeta^2 - 1}{Z_r''(\zeta)} e^{-\zeta^2} \quad \zeta = \frac{v_p}{v_T \sqrt{2}}$$



# Plasma Response to External Driver: Theory



$$\begin{array}{c} \text{full} \quad \quad \text{lin} \quad \quad \quad \text{nonlin} \\ \swarrow \quad \quad \searrow \quad \quad \quad \swarrow \\ \varepsilon = \varepsilon_l + \delta\varepsilon \end{array}$$

linear mode

$$\varepsilon_l(k_{el}) = 0$$

$$k_{el} = k_{elr} + i\sigma_{el}$$

nonlin. mode

$$\varepsilon(k_{en}) = 0$$

$$k_{en} = k_{el} + \delta k_e$$

$$\delta k_e = -\frac{\delta\varepsilon}{\partial\varepsilon_l/\partial k_{el}}$$

Driver:

$$\phi_0 = \tilde{\phi}_0 \exp i(k_0 x - \omega_0 t) + c.c.$$

Steady State:

$$\varepsilon(\omega_0, k_0 - i\partial_x)\tilde{\phi} = \tilde{\phi}_0$$

strong damping limit ( $\partial_x = 0$ ):

$$\begin{aligned} \tilde{\phi} &= \frac{\tilde{\phi}_0}{\varepsilon_l(k_0) + \delta\varepsilon(k_0)} \\ &\approx \frac{\tilde{\phi}_0 / \partial\varepsilon_l/\partial k_l}{k_0 - k_{lr} - i\sigma_l - \delta k_{nl}} \end{aligned}$$

Including advection:

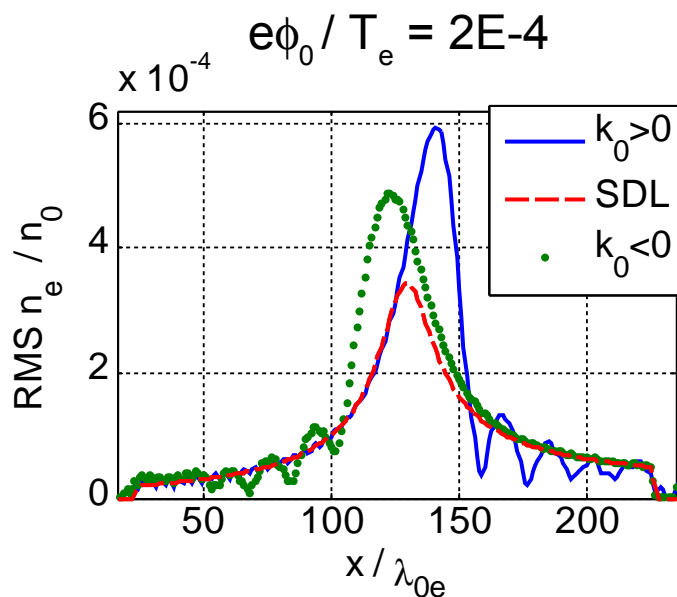
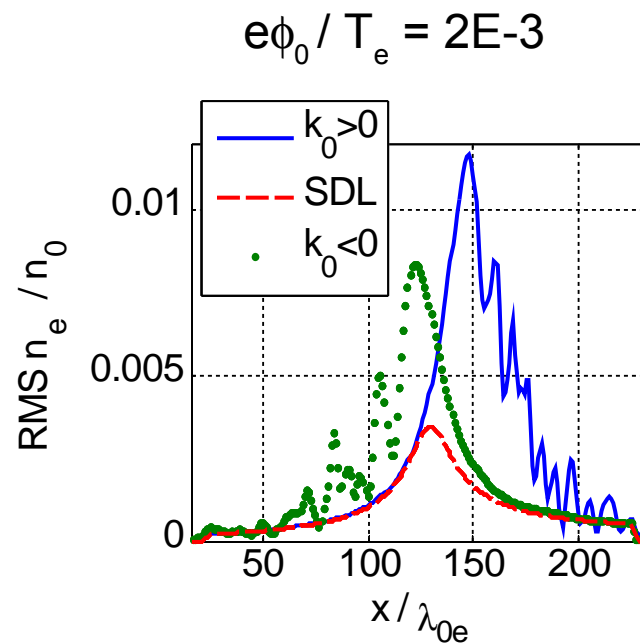
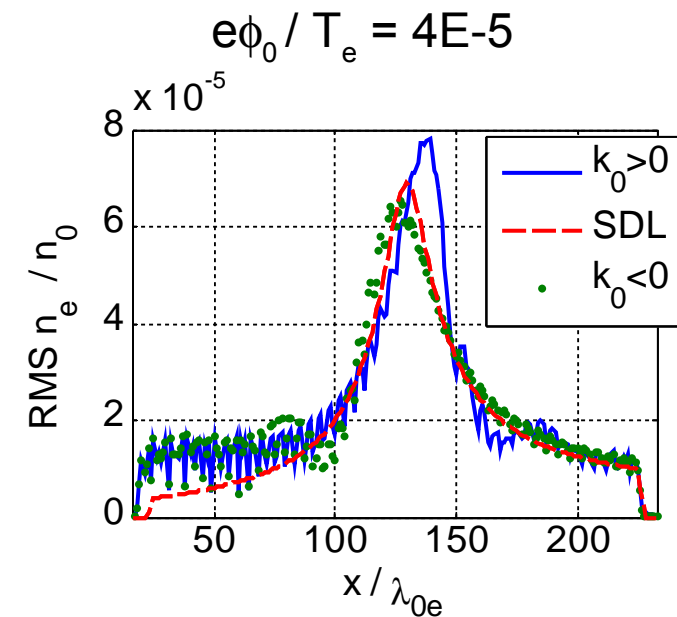
$$\varepsilon(k_e - i\partial_x) \approx \varepsilon(k_e) - i\frac{\partial\varepsilon}{\partial k_e}\partial_x$$

$$\approx \frac{\partial\varepsilon_l}{\partial k_{el}} \left( \underbrace{-i\partial_x}_{\text{advection}} + \underbrace{\kappa(x)}_{\text{mismatch}} - \underbrace{i\sigma_{el}}_{\text{damping}} - \underbrace{\delta k_e}_{\text{shift}} \right)$$

$\kappa = k_0 - k_{lr}$

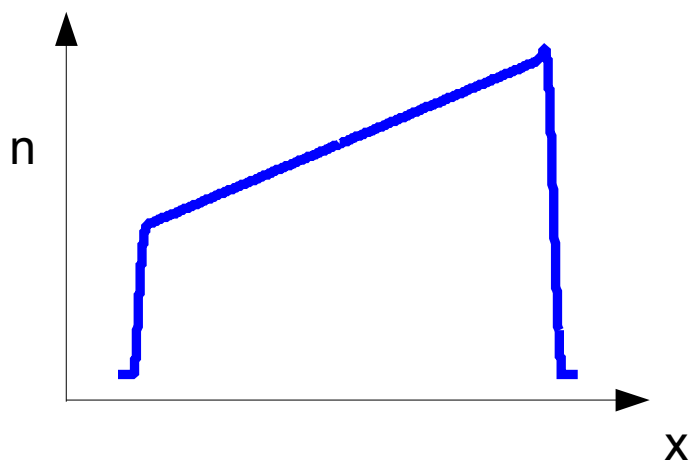
# Plasma Response to External Driver: Simulation

$k_0 > 0$ ,  $k_0 < 0$ : EPW propagates to the right, left



- Advection gives left/right asymmetry?
- What is the nonlinear  $k$  shift, damping rate for a driven wave?
- Use this model for  $\delta\varepsilon$  in full SRS problem

# Inhomogeneous plasma: $k$ 's vary with $x$



$\omega_e = \omega_0 - \omega_s$	matching
$k_e(x) = k_0(x) - k_s(x)$	beat mode (not n.m.)
$\kappa(x) \equiv k_e(x) - k_{elr}(x)$	mismatch

full
lin
nonlin  
 $\varepsilon = \varepsilon_l + \delta\varepsilon$

## linear mode

$$\varepsilon_l(k_{el}) = 0$$

$$k_{el} = k_{elr} + i\sigma_{el}$$

## nonlin. mode

$$\varepsilon(k_{en}) = 0$$

$$k_{en} = k_{el} + \delta k_e$$

$$\delta k_e = -\frac{\delta\varepsilon}{\partial\varepsilon_l/\partial k_{el}}$$

Steady state, light undamped:

$$\partial_t = v_0 = v_s = 0$$

$$v_{g0} a'_0 = K a_s a_e$$

$$v_{g1} a'_1 = -K a_0 a_e^*$$

$$\varepsilon(k_e - i\partial_x, \omega_e) a_e = 2i \frac{\omega_2}{\omega_{p0}^2} \chi K a_0 a_s^*$$

$$\varepsilon(k_e - i\partial_x) \approx \varepsilon(k_e) - i \frac{\partial\varepsilon}{\partial k_e} \partial_x$$

$$\approx \frac{\partial\varepsilon_l}{\partial k_{el}} (-i\partial_x + \kappa(x) - i\sigma_{el} - \delta k_e)$$

advection
mismatch
damping
shift

# Strong damping limit: neglect plasma wave advection

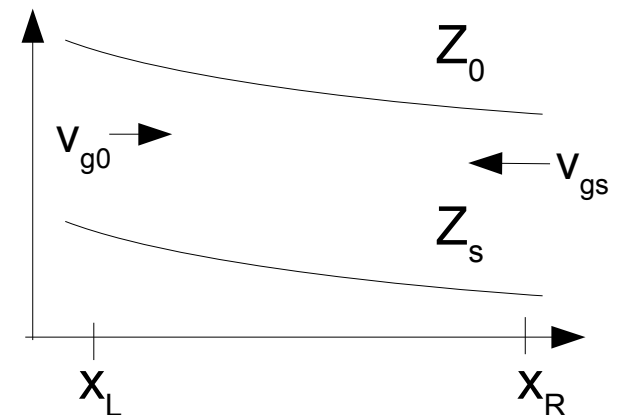
$$\begin{aligned}
 |\partial_x a_e| &\ll |(\kappa + i\sigma_{el})a_e| \\
 &\rightarrow \varepsilon(k_e - i\partial_x) \approx \varepsilon(k_e) \\
 a_e &\approx 2i \frac{\omega_e}{\omega_{p0}^2} \frac{\chi_l(k_e)}{\varepsilon_l(k_e)} K a_0 a_s^*
 \end{aligned}$$

energy density	$W_i$
action density	$N_i = W_i/\omega_i = a_i a_i^*$
action flux	$Z_0 = \frac{N_0}{N_{0L}}$
	$Z_s = -\frac{v_{gs}}{v_{g0}} \frac{N_s}{N_{0L}}$

## Linear scattered light solution ( $\delta k_e = 0$ )

Manley-Rowe:  $Z_0 - Z_s = \text{const.}$

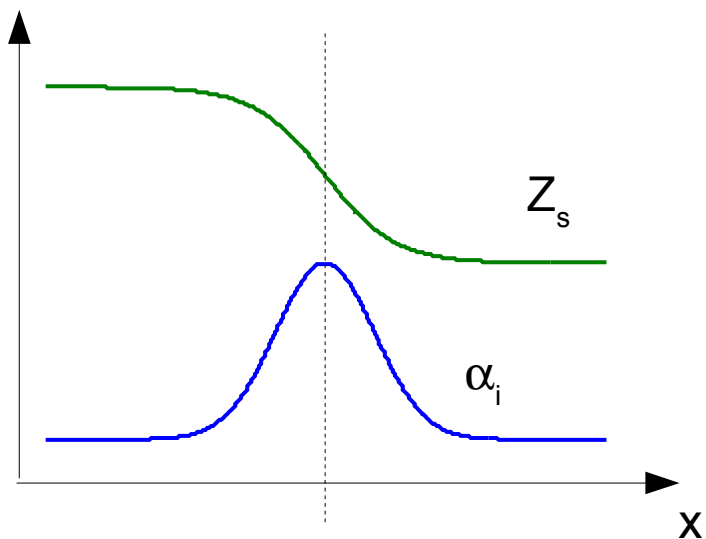
$$\begin{aligned}
 Z_s' &= -\alpha_i Z_0 Z_s \\
 \alpha &= 4 \frac{\gamma_0^2 \omega_e}{|v_{gs}| \omega_{p0}^2} \frac{\chi_l(k_e)}{\varepsilon_l(k_e)} \quad \alpha_i > 0 \\
 Z_s &= \hat{Z} \left[ \left( 1 + \hat{Z}/Z_{sR} \right) e^{-\hat{Z}G(x)} - 1 \right]^{-1} \\
 &\approx Z_{sR} \exp \hat{Z}G(x) \quad \hat{Z} \equiv 1 - \hat{Z}_{sL} \\
 G(x) &= -\int_x^{x_R} dx' \alpha_i(x')
 \end{aligned}$$



backscatter:  $v_{g0} > 0$   $v_{gs} < 0$



# Nonlinear shift can reduce or enhance Raman



resonance pt.

$$Z_s' = -\alpha_i Z_0 Z_s$$

$$\alpha_i \sim \frac{1}{\sqrt{D_r^2 + D_i^2}}$$

$$D_r = \kappa - \delta k_{er} \approx \kappa' x - \delta k_{er}$$

$$D_i = \sigma_{el} + \delta k_{ei}$$

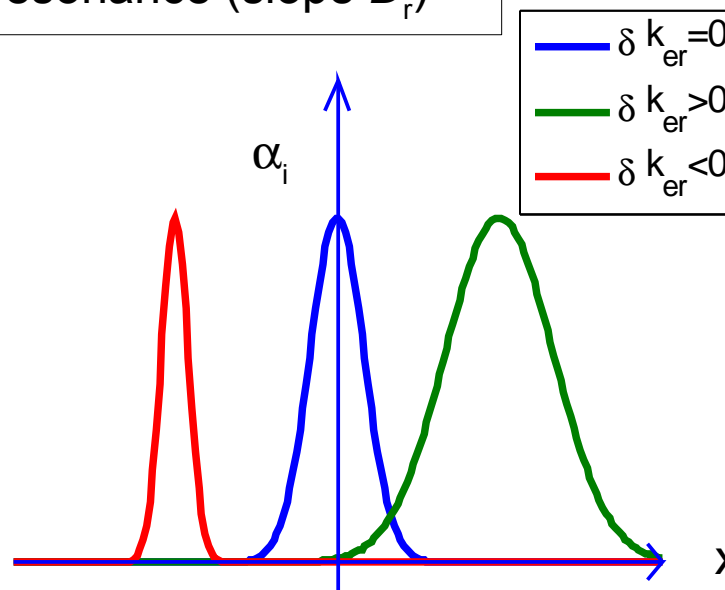
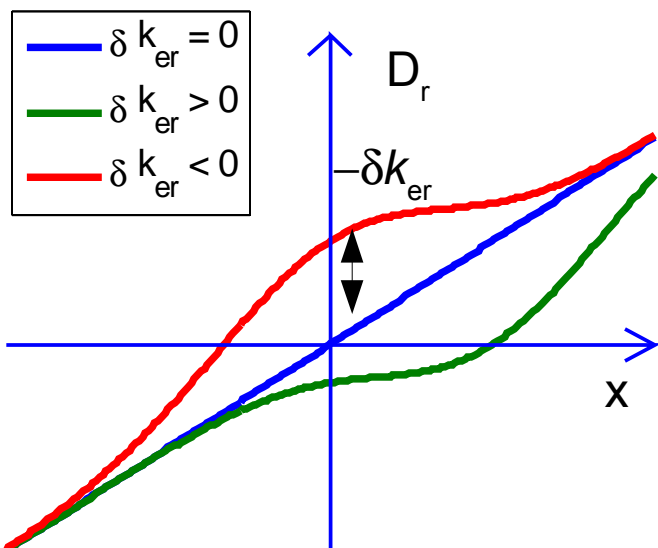
$$Z_e \sim |\alpha|^2 Z_0 Z_s$$

$$\delta k_{er} \sim Z_e^{1/4}$$

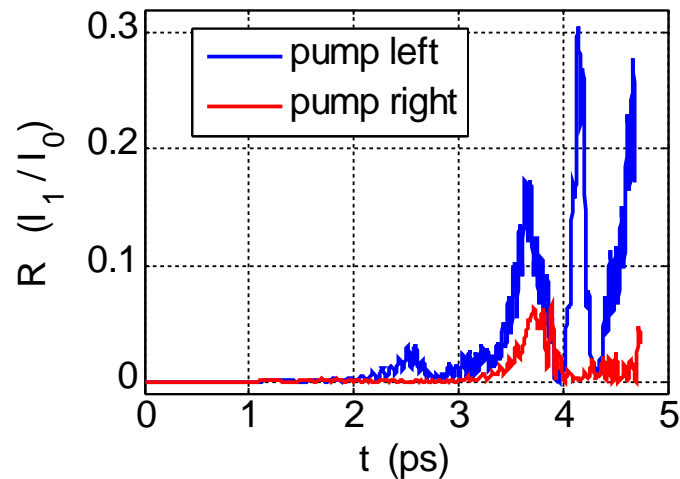
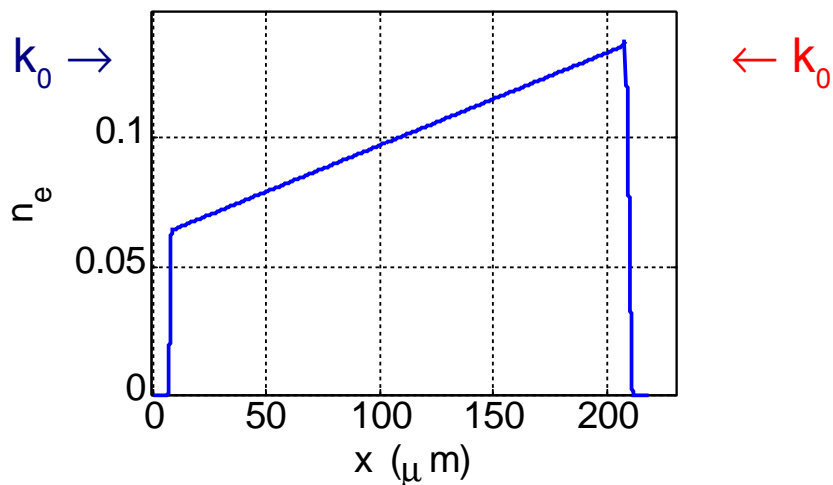
Effects of  $\delta k_e$  :

- Shifts resonance point (min.  $D_r$ )
- changes rate of passage through resonance (slope  $D_r$ )

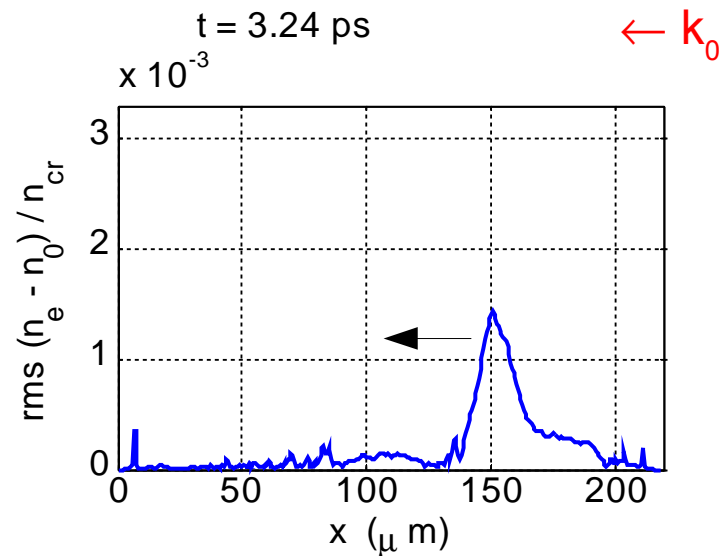
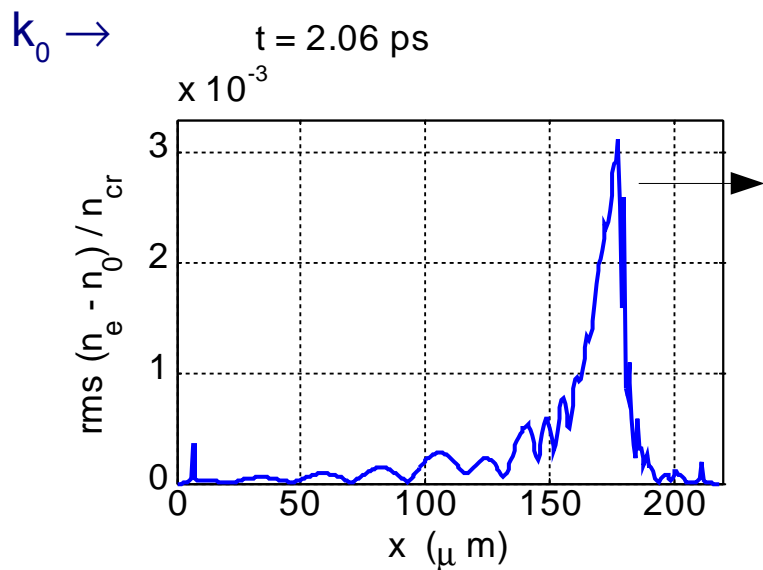
$\kappa' > 0$   
(pump left)



# SRS in density gradient: plasma waves advect, large at edge



linear SDL Reflectivity =  $1.2\text{E-}4$



# Summary and Future Work

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## Summary:

- **Linear theory** gives weak SRS due to high Landau damping.
- **Homogeneous plasma:** electron trapping reduces Landau damping, giving much larger reflectivity. This is robust against bandwidth.
- **Driven plasma waves** in inhomogeneous plasma show importance of advection, nonlinear shift, damping reduction; need accurate model.
- **Inhomogeneous SRS:** mismatch and nonlinear shift may counteract each other. Auto-resonance?

## Future work:

- **Sideloss** out of laser speckle or beam detrays electrons. Restores Landau damping for  $\gamma_{SL} > \omega_B$ . ELVIS has a Krook operator to study this.
- Are **ions** relevant? Does the Langmuir Decay Instability of the plasma wave saturate Raman? ELVIS has option for mobile ions.